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COVID-19 detection from chest X-rays using transfer learning with deep convolutional neural networks

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1. Introduction

On the March 11, 2020, the World Health Organization (WHO) officially announced the outbreak of the new coronavirus COVID-19 a pandemic, after spreading to more than a 100 countries and leading to several thousands of cases in its first few months, with WHO declaring a pandemic over a coronavirus for first time [1]. COVID-19, which is a highly infectious disease, is caused by the SARS-CoV-2 virus [2]. Relatively young subjects that have been affected by COVID-19 usually are asymptomatic or present mild symptoms like cough, headache, fatigue, and fever, which for the general population and especially elders and patients with chronic conditions may progress to more serious symptoms like diarrhea, dyspnea, pneumonia, and death [3]. Young and middle-aged subjects being diagnosed with COVID-19 are having significantly lower mortality rates comparing to elder subjects with COVID-19 which have higher risk to progress to severe condition [4,5]. With a 3.4% mortality rate estimated by the WHO on March 3, 2020 [6] and as COVID-19 is highly infectious it can easily be spread from asymptomatic to vulnerable population. To tackle spreading of COVID-19 and thus protect vulnerable people several governments around the world have applied isolation measures like social distancing and lockdown, while in parallel they perform large scale or targeted to suspicious cases diagnostic tests.

The diagnosis of COVID-19 is performed by the reverse-transcription polymerase chain reaction (RT-PCR) test after collection of proper respiratory tract specimen, which is a laboratory-based test for detection and quantification of a targeted DNA molecule [7]. The RT-PCR test can be done only by laboratories having the necessary infrastructure

to carry it out and subject to case test may need be repeated after one or two days while the cost of the equipment and the required PCR reagents is not low, thus making this diagnostic test expensive and sometimes time consuming without counting the need for specialized microbiologists to do the tests analyses and the laboratory protocols that need to be taken to keep staff safe [7]. Because of these difficulties in many countries, the number of diagnostic tests for the new coronavirus is performed to only suspicious and/or critical cases and governments have taken isolation measures, which are causing socioeconomical problems (e.g., increasing number of domestic abuse cases [8], reduction of economic growth [9], global trade [10]). Based on the above-mentioned facts, the development of alternative, complementary, and low-cost tools for detection of COVID-19 and for decision-making support is essential.

The development of powerful machine learning tools over the last decade and the existence of deep learning models for classification of images, trained from big data collections could offer support in the global effort against the COVID-19. In this paper, we investigate the use of existing convolutional neural network (CNN) models pretrained with large volumes of image databases on the detection of the new coronavirus using transfer learning. In detail, a number of well-known deep CNN models were retrained using two databases of chest X-ray images including COVID-19 examples. The remainder of this chapter is organized as follows: literature review is provided in Subsection 1.1; description of the methodology followed, datasets used, and pretrained deep CNN models evaluated is given in Section 2; evaluation results are provided in Section 3 and conclusions in Section 4.

1.1 Literature review

An automated classification method for X-ray COVID-19 lung images was reported by Mahdy et al. [11]. The proposed technique takes a subject's X-ray lung image and increases its contrast by applying a median filter on it. The resulting image then undergoes a threshold based multilevel image segmentation using the Otsu objective function. The support vector machine (SVM) algorithm is then used to classify the COVID-19 positive images from the other images. The proposed algorithm was trained and tested using 40 contrast-enhanced lungs X-ray images of size 512×512 in-plane resolution. This dataset includes 15 normal lung images and 25 infected lungs with COVID-19 images from the Montgomery County X-ray Set and covid-chest X-ray-dataset-master, respectively. They reported an average sensitivity, accuracy, and specificity of 95.76%, 97.48%, and 99.7% for their system, respectively.

Abbas et al. proposed a decompose, transfer, and compose (DeTraC) CNNs-based algorithm for classification of COVID-19 chest X-ray images in Ref. [12]. The proposed method first trains the backbone pretrained CNN model of the DeTraC to select deep local features of each input image and simplifies the distribution of the local build of the data using the class-decomposition layer of DeTraC. It then uses a sophisticated gradient descent optimization algorithm to complete the network's training. The proposed method finally uses the class-structure layer of DeTraC to polish the classification of the images. The authors used a combination of 80 samples of normal Chest X-ray images from Japanese Society of Radiological Technology (JSRT), 105 sample of COVID-19, and 11 SARS infected images from Cohen JP. COVID-19 image dataset [13]. They applied various data augmentation techniques like flipping, rotation, and translation to augment the amount of samples. They reported a performance accuracy of 95.12% with a sensitivity of 97.91%, a precision of 93.36%, and a specificity of 91.87%.

The application of the pretrained CNNs along with SVM classifier to detect the COVID-19 from chest X-ray images was reported in Ref. [14]. The proposed technique employs a pretrained CNN to extract deep features from the input chest X-ray image. The SVM classifier is then trained and used to recognize the COVID-19 cases. The performance of the presented approach was assessed using datasets online available in GitHub, Kaggle, and Open-i, which contain validated X-ray images. The proposed architecture, i.e., resnet50 plus SVM, attained COVID-19 detection accuracy, in terms of F1 score, False Positive Rate, MCC (Matthews Correlation Coefficient), and Kappa of 95.38%, 91.41%, 95.52%, and 90.76%, respectively (disregarding MERS, SARS, and ARDS).

Wang and Wong proposed a deep CNN architecture for recognition of subjects positive to COVID-19 from chest X-ray images, named COVID-Net in Ref. [15]. The authors used a human-machine cooperative strategy to design the COVID-Net. The proposed network is able to classify chest X-ray images into three groups: (a) normal (no infection), (b) non-COVID-19 contamination (e.g., bacterial, viral, etc.), and (c) COVID-19 viral contamination. This technique employed residual architecture design principles, introduced in Ref. [16] to enable reliable neural network architecture to be trained to its high performance. Moreover, they generated a chest X-ray image dataset to train the proposed COVID-Net, called: COVIDx. COVIDx dataset contains 13,800 Chest X-ray images from 13,725 patients, constructed as a mixture and alteration of three open access data repositories' images (i.e. [17,18], and [13]). The proposed technique achieved 92.6% accuracy with 87.1% sensitivity and 96.4% Positive Predictive Value for detecting COVID-19 cases.

Li et al. introduced a three-dimensional (3D) deep learning framework to distinguish COVID-19 cases from chest X-ray images called: COVNet in Ref. [19]. The proposed method first extracts the lung region with the image as the region of interest (ROI) by a U-net [20]—based segmentation algorithm. The resulting ROI part of the image was then fed to the COVNet for classification. The COVNet employs a ResNet-50, presented in Ref. [16] to extract features for the corresponding ROI. The proposed technique then performs a max-pooling operation to combine the features, generating a feature map. An entirely connected layer and softmax activation function are then used to determine the detection score for COVID-19, nonpneumonia and CAP case from the resulting feature map. The authors reported a performance of 90% and 96% in terms of sensitivity and specificity for the proposed framework on detecting COVID-19 cases from an independent testing dataset.

In Ref. [21], an artificial intelligence-based automatic computed tomography (CT) image examination tools for recognition, quantification, and tracking of COVID-19 cases from others were presented. The proposed method consists of two subsystems and analyses the CT case at two separate levels. For subsystem A, the authors used a salable off-the-shelf software to detect small opacities and nodules within a 3D lung volume (RADLogics Inc., Boston [22]). This software generates quantitative measurements including axial measurements (RECIST), volumetric measurements, The Hounsfield Unit values, texture description, and calcification recognition for solid versus subsolid versus GG from the input image. They assumed that this software can detect Ground-Glass Opacities, which current studies showed is one of the important features for detecting COVID-19, within the input image. In subsystem B, the lung ROI is first extracted by a U-net architecture for image segmentation, presented in Refs. [20,23]. It then uses a pretrained ResNet-50—2D deep CNN architecture, introduced in Ref. [16], which has 50 layers and can categorize the image into 1000 types. The authors fine-tuned the network parameters by further training to solve the problem of having suspicious COVID-19 cases from different Chinese hospitals. The authors assessed the performance of the proposed system using CT images of 56 COVID-19 positive chines patients and for 51 chines non-Coronavirus patients from multiple institutions in China. The authors assessed the performance of the proposed system using CT images of 56 subjects with positive COVID-19 diagnosis and for 51 chines non-Coronavirus patients from multiple institutions in China. They reported an area under curve (AUC) of 0.996 (95%CI: 0.989-1.00), assuming the positive ratio as a decision feature.

Chowdhury et al. used a deep CNN-based transfer learning approach for automatic detection of COVID-19 pneumonia in Ref. [24]. The authors trained and tested four different popular CNN-based deep learning algorithms, called AlexNet [25], ResNet-18 [26], DenseNet-201 [26], and SqueezeNet [27], to classify normal and pneumonia patients using chest X-ray images. They considered two classification schemes: (a) COVID-19 pneumonia and normal and (b) COVID-19 pneumonia, viral, and normal. To generate experimental results, the author generated a public database that comprises a combination of 190 COVID-19, 1341 normal and 1345 viral pneumonia, chest X-ray images and conducted two experiments on two classification schemes: (i) two- and three-class classification using models trained without augmentation and (ii) two- and three-class classification using models trained with image augmentation. They used accuracy, sensitivity or recall, specificity, precision (PPV), AUC, F1 score measures to assess the performance of different networks. Their experimental results show that SqueezeNet outperforms other three different deep CNN networks for classifying images from normal and COVID-19 group and in normal, viral pneumonia and COVID-19 group, where its classification accuracy, sensitivity, specificity, and precision of normal and COVID-19 images, and normal, COVID-19 and viral pneumonia were (98.3%, 96.7%, 100%, 100%), and (98.3%, 96.7%, 99%, 100%), respectively. Moreover, the concept of transfer learning in deep learning framework was used by Vikash et al. [28] for the detection of pneumonia using pretrained ImageNet models [29] and their ensembles.

2. Materials and method

In this work, we relied on deep CNN models for image classification which have been pretrained from large volumes of images. Specifically, collections of chest X-ray images with known clinical diagnoses for each subject, including COVID-19, were used to retrain preexisting deep CNN models in a transfer learning approach. Before introducing the X-ray images to the deep CNN models to retrain them, image preprocessing was performed, consisting of image resizing and pixel values normalization to meet the input specifications of each pretrained deep CNN model. After retraining the models using the X-ray images, new chest X-rays with unknown clinical diagnosis labels were tested to automatically detect images of subjects with COVID-19. The block diagram of the transfer learning architecture used in the present evaluation for detecting COVID-19 patients from their chest X-ray images is shown in Fig. 13.1.

In the following subsections, we describe the evaluation data and the pretrained deep CNN models used in the present evaluation.

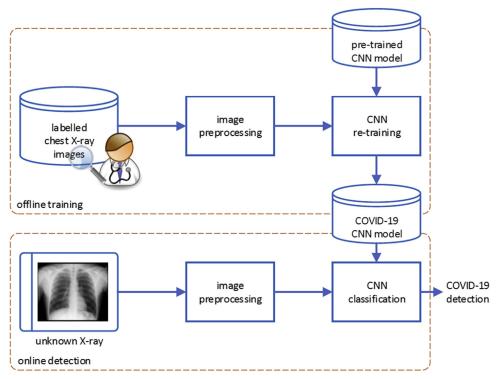


FIGURE 13.1 Block diagram of the evaluated transfer learning architecture used for COVID-19 detection from chest X-ray images.

X-ray data 2.1

For the retraining of the preexisting deep CNNs and the evaluation of the new retrained models, we relied on two datasets available online. The first dataset [24] (Dataset-A) consists of grayscale chest X-ray images of size equal to 1024 × 1024 pixels. The dataset has three classes and each of the X-ray images has been labeled as "COVID-19," "normal," or "viral pneumonia." The number of X-ray images per class of [24] is tabulated in Table 13.1.

The second dataset [30] (Dataset-B) consists of grayscale chest X-ray images of size equal to 300×400 pixels. The dataset has four classes and each of the X-ray images has been labeled as "COVID-19," "normal," "viral pneumonia," or "bacterial pneumonia." The number of X-ray images per class of [30] is tabulated in Table 13.2.

Examples of chest X-ray images of subjects having been diagnosed as "normal," "COVID-19," "viral pneumonia," or "bacterial pneumonia" are shown in Fig. 13.2.

During preprocessing of the X-ray images, they were resized to 224×224 pixels, using bilinear interpolation, to fit to the pretrained deep models input size. The resized X-ray images' pixel values were then normalized to the range [0, 1] to the retraining of the deep CNN models to converge faster. For the preprocessing of the X-ray images, the computer vision and image processing library OpenCV [31] was used.

Table 13.1 Number of X-ray images per class in the [24] dataset (Dataset-A).

Class name	Number of X-ray images
COVID-19	219
Normal	1341
Viral pneumonia	1345

Table 13.2 Number of X-ray images per class in the [30] dataset (Dataset-B).

Class name	Number of X-ray images
COVID-19	60
Normal	880
Viral pneumonia	412
Bacterial pneumonia	650

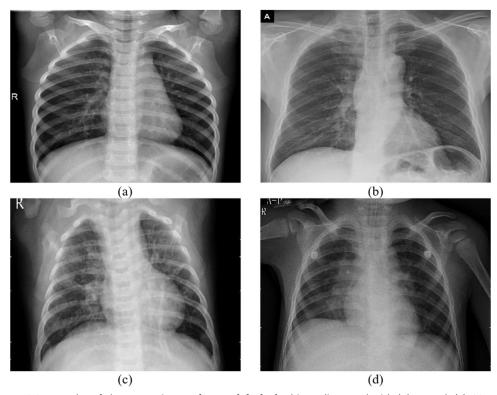


FIGURE 13.2 Examples of chest X-ray images from Ref. [30] of subjects diagnosed with (A) normal, (B) COVID-19, (C) viral pneumonia, and (D) bacterial pneumonia.

2.2 Pretrained deep convolutional neural network models

To apply transfer learning on the X-ray datasets described above and develop models for COVID-19 detection, we relied on four well-known and widely used deep CNN models for image classification. The pretrained deep CNN models used are the DenseNet [32], the MobileNet [33], the ResNet [16], and the VGGNet [34].

2.2.1 VGGNet

The VVGNet has been constructed by the Visual Geometry Group of the University of Oxford [34]. The VGG network architecture was created using 3×3 convolution filters which are packed as a stack of convolutional layers, with each stack then being connected to the max-pooling layer. Two fully connected layers are before the classification layer. The VGGNet can have different depth which is indicated by the number after the VGGNet name. In this evaluation, the VGGNet-16 which has 16 weight layers was used. The "vanilla" VGGNet was trained using the ImageNet [35] with 224 × 224 input size and 1000-class outputs. The retrained VGGNet-16 for classification of X-ray images has 2, 3, or 4 class outputs. The VGGNet-16 architecture is tabulated in Table 13.3.

	VGGNet-16	
Layers	Output size	Layer's structure
Convolution	224 × 224	3 × 3 conv, stride 1
Convolution	224 × 224	3×3 conv, stride 1
Pooling	112 × 112	2×2 max pool, stride 2
Convolution	112 × 112	3×3 conv, stride 1
Convolution	112 × 112	3×3 conv, stride 1
Pooling	56 × 56	2×2 max pool, stride 2
Convolution	56 × 56	3×3 conv, stride 1
Convolution	56 × 56	3×3 conv, stride 1
Convolution	56 × 56	3×3 conv, stride 1
Pooling	28 × 28	2×2 max pool, stride 2
Convolution	28 × 28	3×3 conv, stride 1
Convolution	28 × 28	3×3 conv, stride 1
Convolution	28 × 28	3×3 conv, stride 1
Pooling	14 × 14	2×2 max pool, stride 2
Convolution	14 × 14	3×3 conv, stride 1
Convolution	14 × 14	3×3 conv, stride 1
Convolution	14 × 14	3×3 conv, stride 1
Pooling	7 × 7	2×2 max pool, stride 2
Flatten	25,088	
Dense	4,096	
Dense	4,096	
Classification	2, 3, or 4 fully connected	, softmax

Table 13.3 The VGGNet-16 [34] architecture.

2.2.2 MobileNet

The MobileNet [33] is a CNN model in which convolutional layers can be replaced by depthwise separable convolutions and together with 1×1 kernels are used for pointwise convolutions form a depthwise separable convolution block. The advantage of MobileNet's architecture is the reduced number of computations needed, both during the training of the CNN model as well as during online testing. The MobileNet has been constructed as a light-weight architecture for mobile and embedded vision applications. The "vanilla" MobileNet was trained using the ImageNet [35] with 224×224 input size and 1000-class outputs. The retrained MobileNet for classification of X-ray images has 2, 3, or 4 class outputs. The architecture of MobileNet is tabulated in Table 13.4.

2.2.3 ResNets

ResNet [16] stands for Residual Network and is based on the residual learning framework. In residual networks, shortcut connections between stacks of convolutional layers are inserted. The result of the inserted shortcuts into the plain network is to address

Table 13.4 The MobileNet [33] architectu	re.
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	MobileNet	
Layers	Output size	Layer's structure
Convolution	112 × 112	$3 \times 3 \times 32$ conv, stride 2
Convolution	112 × 112	3×3 depthwise conv, stride 1
Convolution	112 × 112	$1 \times 1 \times 64$ conv, stride 1
Convolution	56 × 56	3×3 depthwise conv, stride 2
Convolution	56 × 56	$1 \times 1 \times 128$ conv, stride 1
Convolution	56 × 56	3×3 depthwise conv, stride 1
Convolution	56 × 56	$1 \times 1 \times 128$ conv, stride 1
Convolution	28 × 28	3×3 depthwise conv, stride 2
Convolution	28 × 28	$1 \times 1 \times 256$ conv, stride 1
Convolution	28 × 28	3×3 depthwise conv, stride 1
Convolution	28 × 28	$1 \times 1 \times 256$ conv, stride 1
Convolution	14 × 14	3×3 depthwise conv, stride 2
Convolution	14 × 14	$1 \times 1 \times 512$ conv, stride 1
5x Convolution	14 × 14	3×3 depthwise conv, stride 1
Convolution	14 × 14	$1 \times 1 \times 512$ conv, stride 1
Convolution	7 × 7	3×3 depthwise conv, stride 2
Convolution	7 × 7	$1 \times 1 \times 1024$ conv, stride 1
Convolution	7 × 7	3×3 depthwise conv, stride 2
Convolution	7 × 7	$1 \times 1 \times 1024$ conv, stride 1
Classification	1024	7×7 global average pool
	2, 3, or 4 fully connected, softmax	

vanishing/exploding gradients of centering layer responses, gradients, and propagated errors. ResNet can have different deep layers, such as ResNet-18, ResNet-34, ResNet-50, ResNet-101, and ResNet-152 with the number in the model name indicating the number of convolutional layers. In this evaluation, we have used ResNet-50, ResNet-101, and ResNet-152 which are suggested by the ResNet's authors to be more accurate in image classification than the ResNet-18 and ResNet-34 ones. The "vanilla" ResNet models were trained using the ImageNet [35] with 224×224 input size and 1000-class outputs. The retrained ResNet models for classification of X-ray images have 2, 3, or 4 class outputs. The architectures of ResNet-50, ResNet-101, and ResNet-152 are tabulated in Tables 13.5–13.7, respectively.

2.2.4 DenseNet

The Dense Convolutional Network (DenseNet) [32] is the CNN architecture that has the connection from the previous layer to every other next layer. Unlike ResNet which has the shortcut connection inserted into the plain network, DenseNet has connections inserted to other layers which are called the dense block, and each block is connected to

Table 13.5 The ResNet-50 [16] architecture.

	ResNet-50	
Layers	Output size	Layer's structure
Convolution	112 × 112	7 × 7 conv, stride 2
Pooling	56 × 56	3×3 max pool, stride 2
Convolution	56 × 56	[1 × 1, 64]
		$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
		1 × 1, 256
Convolution	28 × 28	
		$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$
		1 × 1, 512
Convolution	14 × 14	
		3 × 3, 256 × 6
		$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$
Convolution	7 × 7	
		3 × 3, 215 × 3
		$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 215 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
Classification	2048	7×7 global average pool
	2, 3, or 4 fully connect	ted, softmax

Table 13.6 The ResNet-101 [16] architecture.

	ResNet-101	
Layers	Output size	Layer's structure
Convolution	112 × 112	7×7 conv, stride 2
Pooling	56 × 56	3×3 max pool, stride 2
Convolution	56 × 56	[1 × 1, 64]
		$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
		1 × 1, 256
Convolution	28 × 28	
		$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$
		1 × 1, 512
Convolution	14 × 14	
		3 × 3, 256 × 23
		$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$
Convolution	7 × 7	
		3 × 3, 215 × 3
		$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 215 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
Classification	2048	7×7 global average pool
	2, 3, or 4 fully connect	ted, softmax

	ResNet-152	
Layers	Output size	Layer's structure
Convolution	112 × 112	7×7 conv, stride 2
Pooling	56 × 56	3×3 max pool, stride 2
Convolution	56 × 56	「1 × 1, 64]
		$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
		1 × 1, 256
Convolution	28 × 28	
		3 × 3, 128 × 8
		$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$
Convolution	14 × 14	
		$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 36$
		1 × 1, 1024
Convolution	7 × 7	
		$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 215 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
		1 × 1, 2048
Classification	2048	7 × 7 global average pool
	2, 3, or 4 fully connect	ted, softmax

Table 13.7 The ResNet-152 [16] architecture.

the next block in cascade with a convolutional layer and pooling layer in between them. The "vanilla" DenseNet models were trained using the ImageNet [35] with 224 × 224 input size and 1000-class outputs. The retrained DenseNet models for classification of Xray images have 2, 3, or 4 class outputs. The architectures of DenseNet-121, DenseNet-169, and DenseNet-201 are tabulated in Tables 13.8–13.10, respectively.

3. Experimental results

The transfer learning architecture described in the previous section was evaluated using the four deep CNN models described above for COVID-19 detection from X-ray images. The performance of the evaluated CNN models was measured in terms of classification accuracy, i.e.,

Accuracy =
$$\frac{TP + TN}{TP + TN + FP + FN}$$
 (13.1)

where TP is the number of true positives, TN is the number of true negatives, FP is the number of false positives, and FN is the number of false negatives of the classified dermatoscopic images. To avoid overlap between the training and testing subsets, a 10fold cross validation protocol was used.

Table 13.8 The DenseNet-121 [32] architecture.

	DenseNet-121	
Layers	Output size	Layer's structure
Convolution	112 × 112	7×7 conv, stride 2
Pooling	56 × 56	3×3 max pool, stride 2
Dense block (1)	56 × 56	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 6$
Transition (1)	56 × 56	1×1 conv
Transition (1)	28 × 28	2×2 average pool, stride 2
Dense block (2)	28 × 28	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 12$
Transition (2)	28 × 28	1×1 conv
Transition (2)	14 × 14	2×2 average pool, stride 2
Dense block (3)	14 × 14	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 24$
Transition (3)	14 × 14	1×1 conv
Transition (3)	7 × 7	2×2 average pool, stride 2
Dense block (4)	7 × 7	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 16$
Classification	1024	7×7 global average pool
	2, 3, or 4 fully connected, softmax	

Table 13.9 The DenseNet-169 [32] architecture.

	DenseNet-169	
Layers	Output size	Layer's structure
Convolution	112 × 112	7×7 conv, stride 2
Pooling	56 × 56	3×3 max pool, stride 2
Dense block (1)	56 × 56	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 6$
Transition (1)	56 × 56	1×1 conv
Transition (1)	28 × 28	2×2 average pool, stride 2
Dense block (2)	28 × 28	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 12$
Transition (2)	28 × 28	1×1 conv
Transition (2)	14 × 14	2×2 average pool, stride 2
Dense block (3)	14 × 14	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 32$
Transition (3)	14 × 14	1×1 conv
Transition (3)	7 × 7	2×2 average pool, stride 2
Dense block (4)	7 × 7	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 32$
Classification	1664	7×7 global average pool
	2, 3, or 4 fully connected, softmax	

	DenseNet-201	
Layers	Output size	Layer's structure
Convolution	112 × 112	7×7 conv, stride 2
Pooling	56 × 56	3×3 max pool, stride 2
Dense block (1)	56 × 56	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 6$
T ''' (4)	F.C. F.C.	
Transition (1)	56 × 56	1×1 conv
Transition (1)	28 × 28	2×2 average pool, stride 2
Dense block (2)	28 × 28	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 12$
Transition (2)	28 × 28	1 × 1 conv
Transition (2)	14 × 14	2×2 average pool, stride 2
Dense block (3)	14 × 14	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 48$
Transition (3)	14 × 14	1 × 1 conv
Transition (3)	7 × 7	2×2 average pool, stride 2
Dense block (4)	7 × 7	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 3 \times 3 \text{ conv} \end{bmatrix} \times 32$
Classification	1920	7×7 global average pool
	2, 3, or 4 fully connected, softmax	

Table 13.10 The DenseNet-201 [32] architecture.

3.1 Dataset-A

The X-ray image classification results for Dataset-A [24] for all evaluated deep CNN models after retraining them are tabulated in Table 13.11. The best performing model and classification accuracy are indicated in bold.

Table 13.11 Classification accuracy (in percentages) for different retrained deep CNN models in multiclass classification on Dataset-A [24] using 10-fold cross validation.

Retrained deep convolutional neural network	Accuracy (%)
DenseNet-121	99.00
DenseNet-169	95.64
DenseNet-201	99.07
MobileNet	99.76
ResNet-50	99.38
ResNet-101	97.63
ResNet-152	98.45
VGG-16	60.59

The best performing model and classification accuracy are indicated in bold.

Table 13.12 Classification accuracy (in percentages) for different retrained deep convolutional neural network models in binary classification on Dataset-A [24] using 10-fold cross validation.

Retrained deep convolutional neural network	Accuracy (%)
DenseNet-121	91.55
DenseNet-169	98.97
DenseNet-201	99.62
MobileNet	99.83
ResNet-50	98.73
ResNet-101	99.69
ResNet-152	99.55
VGG-16	92.46

As can be seen in Table 13.11, the best performing model after transfer learning is the MobileNet, followed by ResNet-50, DenseNet-201, and DenseNet-121 all having accuracy more than 99%. In addition, we performed binary classification (i.e., COVID-19 vs. non-COVID-19), and the binary classification results are tabulated in Table 13.12.

As can be seen in Table 13.12, the best performing model in binary classification is also the MobileNet, followed by ResNet-101, DenseNet-201, and ResNet-152 all having accuracy more than 99%. To investigate the classification accuracy per class, the confusion matrices for the multiclass and the binary classification of Dataset-A are shown in Tables 13.13 and 13.14, respectively.

Table 13.13 Confusion matrix (in percentages) for the best performing MobileNet model in multiclass classification on Dataset-A [24] using 10-fold cross validation.

Classified as \rightarrow	COVID-19	Normal	Viral pneumonia
COVID-19	100.00	0.00	0.00
Normal	0.00	99.78	0.22
Viral pneumonia	0.00	0.30	99.70

Table 13.14 Confusion matrix (in percentages) for the best performing MobileNet model in binary classification on Dataset-A [24] using 10-fold cross validation.

Classified as →	COVID-19	Non-COVID-19
COVID-19	99.09	0.91
Non-COVID-19	0.11	99.89

As shown in Tables 13.13 and 13.14, the best performing retrained MobileNet model can detect the COVID-19 class with accuracy at or close to 100% both in multiclass and binary classification setups.

3.2 Dataset-B

The X-ray image classification results for Dataset-B [30] for all evaluated deep CNN models after retraining them are tabulated in Table 13.15. The best performing model and classification accuracy are indicated in bold.

As can be seen in Table 13.15, the best performing model after transfer learning is the DenseNet-201, followed by ResNet-50, with both having accuracy above than 96%. In addition, we performed binary classification (i.e., COVID-19 vs. non-COVID-19), and the binary classification results are tabulated in Table 13.16.

Table 13.15 Classification accuracy (in percentages) for different retrained deep convolutional neural network models in multiclass classification on Dataset-B [30] using 10-fold cross validation.

Retrained deep convolutional neural network	Accuracy (%)	
DenseNet-121	94.46	
DenseNet-169	94.91	
DenseNet-201	96.76	
MobileNet	95.32	
ResNet-50	96.16	
ResNet-101	95.46	
ResNet-152	89.21	
VGG-16	91.32	

Table 13.16 Classification accuracy (in percentages) for different retrained deep convolutional neural network models in binary classification on Dataset-B [30] using 10-fold cross validation.

Retrained deep convolutional neural network	Accuracy (%)	
DenseNet-121	99.75	
DenseNet-169	99.85	
DenseNet-201	99.90	
MobileNet	99.60	
ResNet-50	100.00	
ResNet-101	99.45	
ResNet-152	99.85	
VGG-16	97.00	

As can be seen in Table 13.16, the best performing model in binary classification is the ResNet-50 with accuracy 100% followed by DenseNet-201 with accuracy 99.9%, DenseNet-201 and ResNet-152 both having accuracy 99.85%. To investigate the classification accuracy per class, the confusion matrices for the multiclass and the binary classification of Dataset-B are shown in Tables 13.17 and 13.18, respectively.

As shown in Tables 13.13 and 13.14, the best performing retrained models, i.e., DenseNet-201 model in the multiclass classification setup and the ResNet-50 model in the binary classification setup, are detecting COVID-19 class without any false rejections. In the case of multiclass classification, 0.23% of the normal class X-ray images and 0.31% of the bacterial pneumonia class X-ray images were false positives to COVID-19 class.

To summarize the above-presented results and focusing on COVID-19 detection (i.e., the binary setup), we present the detection accuracy in percentages for each of the evaluated deep CNN models for both X-ray datasets in Fig. 13.3. As can be seen in Fig. 13.3, the models that achieved competitive detection accuracy in both datasets are the DenseNet-201, MobileNet, ResNet-152, and ResNet-101.

Table 13.17 Confusion matrix (in percentages) for the best performing DenseNet-201 model in multiclass classification on Dataset-B [30] using 10-fold cross validation.

Classified as →	COVID-19	Normal	Viral pneumonia	Bacterial pneumonia
COVID-19	100.00	0.00	0.00	0.00
Normal	0.23	98.86	0.34	0.57
Viral pneumonia	0.00	0.49	89.81	9.71
Bacterial pneumonia	0.31	0.15	1.54	98.00

Table 13.18 Confusion matrix (in percentages) for the best performing ResNet-50 model in binary classification on Dataset-B [30] using 10-fold cross validation.

Classified as →	COVID-19	Non-COVID-19	
COVID-19	100.00	0.00	
Non-COVID-19	0.00	100.00	

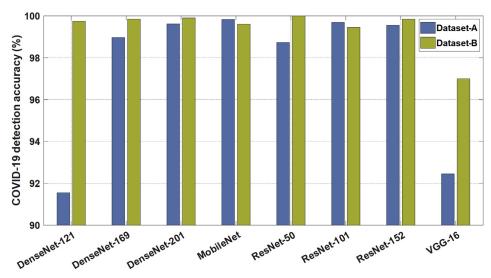


FIGURE 13.3 COVID-19 detection accuracy (binary setup) for the two chest X-ray datasets and for all evaluated deep convolutional neural network models.

4. Conclusion

COVID-19 outbreak has already caused thousands of deaths as well as serious effects in world's health, social life, and economy. Because of the huge number of cases, the fact that the virus has not yet well-studied and because of the difficulties of the diagnostic tests, it is essential to investigate the possibility of having alternative, complementary, and supportive tools to assist medical staff in decision making and thus tackling this pandemic. Following a transfer learning approach, we evaluated several well-known pretrained deep CNN models for detection of subjects with COVID-19 from their chest X-ray images, which included normal, viral, and bacterial pneumonia cases, except the new COVID-19 coronavirus. The retrained models were tested on two different datasets, and the best performing models were the MobileNet, DenseNet, and ResNet with top performing classification accuracies varying from 96.76% to 100%. The evaluation results indicated the potential of detecting the new coronavirus from X-ray images. The collection of more chest X-ray data from diagnosed cases with COVID-19 will allow the use of data mining analysis to discover COVID-19 markers in the X-ray images and subsequently allow the development of such computer-aided diagnostic tools.

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